

**CONFIRMATION UNDER THE FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) FOR DETERMINING US PERSON STATUS**

[Mandatory for all investors including Unit holder (Guardian in case of minor) and Joint holder(s)]

To,

**P.SURYAKANT SHARE & STOCK BROKERS PVT.LTD  
PSJSECURITIES PVT.LTD.**

BLDG.NO.5, 5TH FLOOR,  
RAJABHADUR COMPOUND  
MUMBA SAMACHAR MARG  
FORT,MUMBAI-400001

**APPLICANT'S INFORMATION**

Client Code 

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FATCA DECLARATION FOR INDIVIDUAL CLIENTS		
<b>Please provide a response common to all holders in the folio(s).</b>		
<b>For eg : If the answer to any one of the question for any one of the holder is “Yes”, please tick on “Yes” against the question</b>		
<b>FOR INDIVIDUAL INVESTORS (INDIVIDUAL / NRI / HUF / ON BEHALF OF MINOR / PROPRIETORSHIP FIRM)</b>		
FATCA Compliance Confirmation Indicia	“Yes” or “No” please ( <input type="checkbox"/> )	
Are you a resident or Citizen of the United States?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Is US your place of birth?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you have a US telephone number in the capacity of a resident / citizen of US?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you hold any residence / mailing address / „C/o address <input type="checkbox"/> / hold mail address / PO Box address in the US?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Is your POA holder based out of US or hold US residence / citizenship?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you pay tax in the US?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you hold an Identification Number or any identification that indicates US residence / citizenship?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

In case of individual client who is a resident or Citizen of the United States:

Spouse Name	
Nationality	
Place of Birth	
Tax Identification Number (TIN) allotted by Tax resident Country	
TIN Issuing country	
Foreign Address	

**DECLARATION & SIGNATURE(S) ( To be signed as per mode of holding)**

Client agrees to provide the trading member and/or depository participant with any documentation or information requested relating to individual or entity tax status. To the extent required by the trading member and/or depository participant, client hereby consents to the disclosure and reporting of any tax related information obtained or held by the trading member and/or depository participant to any local or foreign regulatory or tax authority (“Tax Authority”). Upon request by the trading member and/or depository participant, client hereby agrees to obtain a written waiver or consent from the entity’s “substantial owners” or “controlling persons” and to provide those consents to the trading member and/or depository participant to permit it to disclose and report tax and account specific financial information to any local or foreign Tax authority. The terms “substantial owners” and “controlling persons” shall have the meaning as defined under local or foreign tax laws, regulatory guidance or inter governmental cooperation agreements. The potential consequences for failure to comply with requests for tax information, failure to respond to requests for waivers or consents for tax information disclosure, and/or failure to respond to requests to obtain waivers or consents from substantial owners or controlling persons, include, but are not limited to: (a) trading member and/or depository participant has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) trading member and/or depository participant has the ability to withhold taxes that may be due from certain payments made to the client’s account; (c) trading member and/or depository participant has the right to pay relevant taxes to the appropriate tax authority; (d) trading member and/or depository participant has the right to refuse to provide certain services; and (e) trading member and/or depository participant has the discretion to close client accounts. The client agrees to inform, or respond to any request from, the trading member and/or depository participant, if there are any changes to tax information previously provided.

<b>Authorised /Applicant Signatory</b>	
<b>Date:</b>	
<b>Place:</b>	